

ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark ROSENBERG

Confirmation No.: 5201

Application No.: 09/592,845

Examiner: N. Subramanian

Filing Date: June 13, 2000

Group Art Unit: 3624

Title: INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT
COMPONENTS

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER 37 CFR 1.14

Subsection (b) of 35 U.S.C. 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on
or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Sir:

This is a Request for Continued Examination (RCE) under CFR 1.114 of the above-identified application.

Submission under 37 CFR 1.114

☐ Previously submitted:

☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on
(Any unentered amendment(s) referred to above will be entered).

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

☐ Other

☒ Enclosed:

☒ Amendment/Reply

☐ Affidavit(s)/Declaration(s)

☐ Information Disclosure Statement (IDS)

☐ Other

☐ Other

01/24/2005 EABUBAK1 00000005 502849 09592845

01 FC:2801 395.00 DA

**CONTINUED EXAMINATION TRANSMITTAL
(RCE) (37 CFR 1.114) (continued)**

ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

Miscellaneous

☐ Suspension of action is requested under 37 CFR 1.103(c) for a period of _____ Months.
The fee for this Suspension is (37 CFR 1.17(i)) \$130.00

☐ Other

☒ RCE filing fee \$395.00

☒ A Petition for Extension of Time

<input checked="" type="checkbox"/>	one month	\$60.00
<input type="checkbox"/>	two months	\$225.00
<input type="checkbox"/>	three months	\$510.00
<input type="checkbox"/>	four months	\$795.00

☐ Other fees

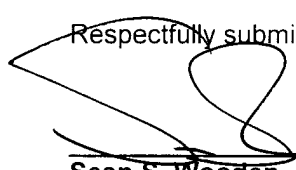
☐

Please charge **\$455.00** to Deposit Account No. **50-2849** to cover the above fees. In the event any variance exists between the amount authorized to be charged and the actual charges, please charge or credit any difference to Deposit Account No. **50-2849**.

☒ A duplicate copy of this transmittal letter is enclosed

ANDREWS KURTH LLP
Intellectual Property Department
1701 Pennsylvania Avenue, N.W.
Suite 300
Washington, D.C. 20006
Telephone No.: (202) 662-2700
Facsimile No.: (202) 662-2739

Respectfully submitted,


Sean S. Wooden
Attorney/Agent for Applicant(s)
Reg. No. 43,997

Date: **January 19, 2005**